3 1761 03872 0884

MacIntosh, Jeff.
Financing the small
firm

Volume 1 Class 4

Storage
KF
1659
A7
M32
1995
v.1
Class 4

AVV - 8550

BORA LASKIN LAW LIBRARY

FEB 20 1995

FACULTY OF LAW
UNIVERSITY OF TORONTO

BORA LASKIN LAW LIBRARY
UNIVERSITY OF TORONTO

FINANCING THE SMALL FIRM

Professor Jeffrey G. MacIntosh

VOLUME I

CLASS 4

SPRING 1995

**FOR THE CLASSROOM USE OF
UNIVERSITY OF TORONTO
STUDENTS ONLY**

Digitized by the Internet Archive
in 2018 with funding from
University of Toronto

https://archive.org/details/financingsmallfi04maci

FINANCING THE SMALL FIRM

VOLUME I

Spring 1995

Professor Jeffrey G. MacIntosh

TABLE OF CONTENTS

CLASS 4: JANUARY 30

THE FORMAL VENTURE CAPITAL MARKET, LIQUIDITY, AND EXIT

[**NOTE**: Please review the hold periods applicable both to control and non-control persons under the U.S.A.

You should also look at O.S.C. Policies 5.2 and 5.9 insofar as they deal with -- requirements.]

(1) II. What is Venture Capital? Venture Capital in Canada A Guide and Sources 1992 Edition 1

(2) III. Some Historical Background: A Quick Look Back Venture Capital in Canada A Guide and Sources 1992 Edition 3

(3) V. Raising Venture Capital Venture Capital in Canada A Guide and Sources 1992 Edition. 4

(4) Mike Logie, "Re: Rationale for the Closed System and the Waiting Period in Securities Law Prospectus Exemptions", Memorandum (1993) 11

(5) Jeffrey G. MacIntosh, excerpts from Legal and Institutional Barriers to Financing Innovative Enterprise in Canada, Queen's University (1994) 14

(6) "Founder Stock Policy Statement", Toronto Exchange - Company Manual, CCH Canadian Limited (1994) 20